

9-27-04

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:

Kevin P. Baker, et al.

Docket No.:

39780-2830P1C6

Serial No .:

10/007,194

Group Art Unit:

1647

Filing Date:

December 6, 2001

Examiner:

Hamud, Fozia M.

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND

NUCLEIC ACIDS ENCODING THE SAME

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450.

Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,915 filed 9/24/1998.--

	CERTIFICATE OF	EXPRESS MA	ILING
Addressee" service under	rrespondence is being deposited wit 37 C.F.R. §1.10 on the date indicate P.O. Box 1450, Alexandria, VA on t	ed below and ac the date indicat	ates Postal Service "Express Mail Post Office to ddressed to: Mail Stop: Amendment, ed below. s Mail Label: EL 993 692 133 US
Typed or printed name	Sandra Puljic		
Signature	Jandre Pupe -	Date	September 23, 2004

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. <u>08-1641</u> (Atty. <u>Docket No. 39780-2830P1C6</u>).

Respectfully submitted,

Dated: September 23, 2004

Anna L. Barry Reg. No. 51,436

HELLER EHRMAN WHITE & McAULIFFE LLP

Customer No. 09157/35489 275 Middlefield Road Menlo Park, CA 94025

Tel: (650) 324-7000 Fax: (650) 324-0638

Please type Nus sign (+) inside this box ∏	+		nt and Tradema	ark Of	PTO/SB/21 (6-99) for use through 09/30/2000. OMB 0651-0031 fice: U.S. DEPARTMENT OF COMMERCE			
ler the Paperwork Reduction Act of 1995	5, no persons are requ	Application Num			nless it displays a valid OMB control number. 10/007,194			
TRANSMITTA	Filing Date		December 6, 2001					
FORM		First Named Inventor		Kevin P. Baker, et al.				
(to be used for all correspondence after	initial filing)	Group/Art Unit	Group/Art Unit		1647			
.*		Examiner Name	Examiner Name		Fozia M. Hamud			
Total Number of Pages in This Submission	14 pages	Attorney Docket N	Number		39780-2830P1C6			
ENCLOSURES (check all that apply)								
Fee Transmittal Form Fee Attached Amendment / Response After Final Version with Markings Showing Changes Affidavits/declaration(s) Extension of Time Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing Licensin Petition and Acc Petition Provisio Power of Change Termina Small E Request Remarks AUTHORIZA	ng-related Papers Routing Slip (PTO/SE companying Petition to Convert to a conal Application of Attorney, Revocation of Correspondence Acal Disclaimer Entity Statement	B/69) on ddress		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): Copy of Premliminary Amendment as Filed; Copy of Updated Filing Receipt; and a Return Receipt Postcard			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT								
Firm or Individual name Signature Date September 23, 2004 Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP Customer Number: 35489/09157								
CERTIFICATE OF MAILING (37CFR 1.10) I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450								
Typed or printed name Sandra Puljic .								
Signature Quide 1	$\overline{\mathcal{D}_{\cdot \cdot \wedge}}$		Date	Septe	mber 23, 2004			

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APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | 10/007,194 | 12/06/2001 | 1653 | 740 | GNE.2830P1C6 | 249 | 6 | 1

30313 KNOBBE, MARTENS, OLSON & BEAR, LLP 620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR NEWPORT BEACH, CA 92660



CONFIRMATION NO. 3544
UPDATED FILING RECEIPT
OC000000008517538

Date Mailed: 07/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kevin P. Baker, Darnestown, MD: David Botstein, Belmont, CA; Luc Desnoyers, San Francisco, CA; Dan L. Eaton, San Rafael, CA; Napoleone Ferrara, San Francisco, CA: Sherman Fong, Alameda, CA; Wei-Qiang Gao, Palo Alto, CA; Audrey Goddard, San Francisco, CA; Paul J. Godowski, Hillsborough, CA; J. Christopher Grimaldi, San Francisco, CA; Austin L. Gurney, Belmont, CA; Kenneth J. Hillan, San Francisco, CA: James Pan, Belmont, CA: Nicholas F. Paoni, Belmont, CA; Margaret Ann Roy, San Francisco, CA; Victoria Smith, Burlingame, CA: Timothy A. Stewart, San Francisco, CA: Daniel Tumas, Orinda, CA; Colin K. Watanabe, Moraga, CA; P. Mickey Williams, Half Moon Bay, CA: William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001 AND CLAIMS BENEFIT OF 60/098,716 09/01/1998 AND CLAIMS BENEFIT OF 60/098,723 09/01/1998 AND CLAIMS BENEFIT OF 60/098,749 09/01/1998 AND CLAIMS BENEFIT OF 60/098,750 09/01/1998 AND CLAIMS BENEFIT OF 60/098,803 09/02/1998 AND CLAIMS BENEFIT OF 60/098,821 09/02/1998 AND CLAIMS BENEFIT OF 60/098,843 09/02/1998 AND CLAIMS BENEFIT OF 60/099,536 09/09/1998 AND CLAIMS BENEFIT OF 60/099,596 09/09/1998 AND CLAIMS BENEFIT OF 60/099,598 09/09/1998 AND CLAIMS BENEFIT OF 60/099,602 09/09/1998 AND CLAIMS BENEFIT OF 60/099,642 09/09/1998 AND CLAIMS BENEFIT OF 60/099,741 09/10/1998 AND CLAIMS BENEFIT OF 60/099,754 09/10/1998 AND CLAIMS BENEFIT OF 60/099,763 09/10/1998 AND CLAIMS BENEFIT OF 60/099,792 09/10/1998 AND CLAIMS BENEFIT OF 60/099,808 09/10/1998 AND CLAIMS BENEFIT OF 60/099,812 09/10/1998 AND CLAIMS BENEFIT OF 60/099,815 09/10/1998 AND CLAIMS BENEFIT OF 60/099,816 09/10/1998 AND CLAIMS BENEFIT OF 60/100,385 09/15/1998 AND CLAIMS BENEFIT OF 60/100,388 09/15/1998 AND CLAIMS BENEFIT OF 60/100,390 09/15/1998 AND CLAIMS BENEFIT OF 60/100,584 09/16/1998 AND CLAIMS BENEFIT OF 60/100,627 09/16/1998 AND CLAIMS BENEFIT OF 60/100,661 09/16/1998 AND CLAIMS BENEFIT OF 60/100,662 09/16/1998 AND CLAIMS BENEFIT OF 60/100,664 09/16/1998 AND CLAIMS BENEFIT OF 60/100,683 09/17/1998 AND CLAIMS BENEFIT OF 60/100,684 09/17/1998 AND CLAIMS BENEFIT OF 60/100,710 09/17/1998 AND CLAIMS BENEFIT OF 60/100,711 09/17/1998 AND CLAIMS BENEFIT OF 60/100,848 09/18/1998 AND CLAIMS BENEFIT OF 60/100,849 09/18/1998 AND CLAIMS BENEFIT OF 60/100,919 09/17/1998 AND CLAIMS BENEFIT OF 60/100,930 09/17/1998 AND CLAIMS BENEFIT OF 60/101,014 09/18/1998 AND CLAIMS BENEFIT OF 60/101,068 09/18/1998 AND CLAIMS BENEFIT OF 60/101,071 09/18/1998 AND CLAIMS BENEFIT OF 60/101,279 09/22/1998 AND CLAIMS BENEFIT OF 60/101,471 09/23/1998 AND CLAIMS BENEFIT OF 60/101,472 09/23/1998 AND CLAIMS BENEFIT OF 60/101,474 09/23/1998 AND CLAIMS BENEFIT OF 60/101,475 09/23/1998 AND CLAIMS BENEFIT OF 60/101,476 09/23/1998 AND CLAIMS BENEFIT OF 60/101,477 09/23/1998 AND CLAIMS BENEFIT OF 60/101,479 09/23/1998 AND CLAIMS BENEFIT OF 60/101,738 09/24/1998 AND CLAIMS BENEFIT OF 60/101,741 09/24/1998 THIS APPLICATION 10/007,194 CLAIMS BENEFIT OF 60/101,743 09/24/1998 AND CLAIMS BENEFIT OF 60/101,915 09/24/1998 AND CLAIMS BENEFIT OF 60/101,916 09/24/1998 AND CLAIMS BENEFIT OF 60/102,207 09/29/1998 AND CLAIMS BENEFIT OF 60/102,240 09/29/1998 AND CLAIMS BENEFIT OF 60/102,307 09/29/1998 AND CLAIMS BENEFIT OF 60/102,330 09/29/1998 AND CLAIMS BENEFIT OF 60/102,331 09/29/1998 AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

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AND CLAIMS BENEFIT OF 60/108,851 11/18/1998 AND CLAIMS BENEFIT OF 60/108,852 11/18/1998 AND CLAIMS BENEFIT OF 60/108,858 11/18/1998 AND CLAIMS BENEFIT OF 60/108,867 11/17/1998 AND CLAIMS BENEFIT OF 60/108,904 11/18/1998 AND CLAIMS BENEFIT OF 60/108,925 11/17/1998 AND CLAIMS BENEFIT OF 60/113,296 12/22/1998 AND CLAIMS BENEFIT OF 60/114,223 12/30/1998 AND CLAIMS BENEFIT OF 60/129,674 04/16/1999 AND CLAIMS BENEFIT OF 60/141,037 06/23/1999 AND CLAIMS BENEFIT OF 60/144,758 07/20/1999 AND CLAIMS BENEFIT OF 60/145,698 07/26/1999 AND CLAIMS BENEFIT OF 60/162,506 10/29/1999 AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

Foreign Applications

PCT/US99/00106 01/05/1999 PCT/US99/20111 09/01/1999 PCT/US99/21194 09/15/1999 PCT/US99/28313 11/30/1999 PCT/US99/28551 12/02/1999 PCT/US99/30095 12/16/1999 PCT/US00/00219 01/05/2000 PCT/US00/00376 01/06/2000 PCT/US00/03565 02/11/2000 PCT/US00/04342 02/18/2000 PCT/US00/05004 02/24/2000 PCT/US00/05841 03/02/2000 PCT/US00/06884 03/15/2000 PCT/US00/13705 05/17/2000 PCT/US00/14042 05/22/2000 PCT/US00/14941 05/30/2000 PCT/US00/15264 06/02/2000 PCT/US00/23328 08/24/2000 PCT/US00/23522 08/23/2000 PCT/US00/30873 11/10/2000 PCT/US00/30952 11/08/2000 PCT/US00/32678 12/01/2000 PCT/US01/06520 02/28/2001 PCT/US01/06666 03/01/2001 PCT/US01/17800 06/01/2001 PCT/US01/19692 06/20/2001 PCT/US01/21066 06/29/2001 PCT/US01/21735 07/09/2001

If Required, Foreign Filing License Granted 03/19/2002

Projected Publication Date: 10/31/2002

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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Patent Docket P2830P1C6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin P. Baker et al.

Serial No.: 10/007194

Filed: 12/6/2001

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

CERTIFICATE OF MAILING
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August 29, 2002

Patricia Terrill

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

In the specification:

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

-- RELATED APPLICATIONS

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the

Patent Docket: P2830P1C6

Serial No.: 10/007194

Filed: 12/6/2001

National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101915 filed 9/24/1998.--

Patent Docket: P2830P1C6

Serial No.: 10/007194

Filed: 12/6/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 29, 2002

PATENT TRADEMARK OFFICE

Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563

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Patent Docket: P2830P1C6 Serial No.: 10/007194

Filed: 12/6/2001

VERSION WITH MARKINGS TO SHOW CHANGES MADE

In the specification:

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.



In Re Application of: Kevin P. Baker et al.

Serial No: 10/007,194
Filed on: December 6, 2001
Mailed on: <u>August 29, 2002</u> Docket No: P2830P1C6 By: Elizabeth M. Barnes Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped. $\dot{}$

x Preliminary Amendment
x Post card

